| ś  | SUNE   | ARAM MUT   | UAL SIP  | Registratio  | on / R   |   |   |  |                      | / One Time Ma<br>form along with the   |   |
|--|--|--|--|--|--|---|---|--|----------------------|--|---|
| Please tick ☑ as applicable:       ISC's signature         △ Auto Debit Form is attached and to be registered in the folio. SIP Auto debit will start after mandate registration which takes Ten days.       ISC's signature         △ Auto Debit Form is already registered in the folio. [No need to submit again].       ISC's signature  |  |  |  |  |  |   |   |  |                      |  |   |
| Distributor's ARN & Name Sub-broker Code (int  |  |  |  | Code (internal)  | Sub-b  | roker's ARN (coc  | ·   | EUIN*<br>(Employee Unique Idendification Number)   |                      | For Office use only  |   |
| I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.           Sole/First Applicants's Signature Mandatory  |  |  |  |  |  |   |   |  |                      |  |   |
| Existir  | ng Investe   | or Folio No.   |  |  |  | New Investor Ap   | plication No  |  |                      |  |   |
|  | PEKRN &  |  |  |  |  |   |   |  |                      |  |   |
| Sole/First Applicant/Guardian       Second Applicant/Guardian       Third Applicant/Guardian         Please tick IM       SIP Registration       SIP with Top-up Registration       SIP - Change in Bank Details       (Please provide copy of cancelled cheque and mention relevant SIP details in the form and OTM mandate.)         KYC compliant       Yes       No (if no, please provide KYC proof/additional documents if not submitted earlier)       Image: Compliant Complising Complicant Compliant Compliant Compliant Complis |  |  |  |  |  |   |   |  |                      |  |   |
| Sr.<br>No  | Scheme   | e/Plan/Option  | /Sub-option  | SIP Installmen<br>Amount (₹)   | t  | SIP Date  | Frequency   | SIP Top Up   | (Optional)           | Start Month/Year   | End Month/Year<br>(Default Dec 2031)#                           |
| 1  |  |  |  |  | □ 1 <sup>st</sup><br>□ 20 <sup>th</sup>  | ☐ 7 <sup>th</sup> ☐ 14 <sup>th</sup><br>☐ 25 <sup>th</sup>  | <ul> <li>Weekly</li> <li>Monthly*</li> <li>Quarterly</li> </ul>   | Top-up amount <sup>\$</sup><br>Rs<br>Top-up Fregency ^ Ha  | if-yearly 🗌 Yearly   |  | M         Y         Y         Y           □ Till Further Notice |
| 2  | 2  |  |  |  | □ 1 <sup>st</sup><br>□ 20 <sup>tr</sup>  | ☐ 7 <sup>th</sup> ☐ 14 <sup>th</sup><br>☐ 25 <sup>th</sup>  | <ul> <li>Weekly</li> <li>Monthly*</li> <li>Quarterly</li> </ul>   | Top-up amount <sup>§</sup><br>Rs<br>Top-up Freqency ^ Half-yearly \  |                      |  | $\square \text{ Till Further Notice}$                           |
| 3<br>*Defau  |  |  |  |  |  |   |   |  |                      | M     Y     Y     Y       □ Till Further Notice  |   |
| <sup>\$</sup> The amount should be in multiples of Rs. 500 only; <sup>^</sup> Quarterly SIP offers top-up frequency at yearly intervals only   |  |  |  |  |  |   |   |  |                      |  |   |
| DEMAT Account Details  |  |  |  |  |  |   |   |  |                      |  |   |
| Investo<br>Declarati<br>KIM till da<br>• agree to<br>any existii<br>of twelve<br>other moo<br>I/We here!<br>Asset Mar<br>in case of  | or willing<br>ion: I/We • hav<br>ate • hereby app<br>or the terms and<br>months (applik<br>de), payable to<br>by declare that<br>nagement, its s<br>f any of the abc | ing read and understoo<br>oly for units under the si<br>conditions for Auto De<br>investments which toge<br>sable for PAN exempt of<br>him for the different cc<br>all the particulars giver<br>ponsor, their as pieng fal | ndia) Ltd. DI<br>nat option, ma<br>od the contents of the<br>cheme(s) as indicated<br>bit • have not receive<br>ther with the current a<br>category of investors)<br>impeting Schemes of<br>h herein are true, correc<br>s, authorised agents, s<br>e, incorrect or incorn | D Number<br>y provide a copy<br>Statement of Additional Ir<br>in the application form • ag<br>d nor been induced by any<br>pplication will result in the<br>The ARN holder has discl<br>various Mutual Funds from<br>ct and complete to the bes<br>ervice providers, represent<br>olete or in case of my/our | of the E<br>formation/S<br>ree to abide<br>rebate or git<br>total investr<br>osed to me<br>a mongst w<br>t of my/our<br>atives of the<br>iot intimatin | P Statement enal<br>Scheme Information Docum<br>by the terms, conditions, ru<br>tts, directly or indirectly in m<br>nents exceeding Rs. 50,000<br>(us all the commissions (in<br>rhich the Scheme is being n<br>knowledge and belief. I / We<br>distributors liable for any c<br>g/delay in intimating any ch | Dling us to ma<br>ent/addenda issued<br>les and regulations of<br>haking this investme<br>in a financial year of<br>the form of trail core<br>of the form of trail core<br>further agree not to<br>nonsequences/losses.<br>anges to the above p | I to the SID and<br>of the scheme(s)<br>the do not have<br>rarolling period<br>mission or any<br>/us.<br>Mutu<br>hold Sundaram<br>Fund<br>Costs/damages<br>particulars. I/We | atures<br>er<br>al X | stated in the applicat<br>First<br>Unit<br>Holder's<br>Signature<br>Second<br>Unit<br>Holder's<br>Signature<br>Third | ion form.   |
| hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes,<br>updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities<br>and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. IWe hereby agree to provide any additional<br>information/documentation that may be required in connection with this application.   |  |  |  |  |  |   |   |  |                      |  |   |
|  |  |  |  | <b>F</b>   |  | Form-NACH   | OTM Reg   | gistration   |                      |  |   |
| S  | SUM  | NDARAM M   |  | RN   |  |   |   |  |                      | Date D D   | M M Y Y Y Y   |
| Tick   | . ,  |  |  | HDFC0000060  |  |   | U   | Ility Code HDFC01685000013642  |                      | 3642   |   |
| Crea<br>Modi   |  | I/We herby   | authorise  | SUNDA  | RAMN   | IUTUALFUND  | to  | debit Tick (1)   |                      |  | 0 🗆 Others  |
| Cano   |  | Bank Accou   |  |  |  |   |   |  |                      |  |   |
|  | Bank   | I  | Name of  | customers bank   |  | IFSC  |   |  |                      | or MICR  |   |
|  |  | (in words)   |  |  |  |   |   |  |                      | ₹  |   |
|  |  | ,  | Quarterly  | $\Box$ Half Yearly   | ⊔ Yearl  | y □As&when  | · I   | DEBIT T  | YPE 🗆                | Fixed Amount D   | laximum Amount  |
|  |  | Folio No   |  |  |  |   |   |  |                      |  |   |
|  |  | Application No<br>debit of Mand  |  | g charges by the   | Bank   |   | nail ID<br>rizing to deb  | it my account a  | s per late           | est Schedule of char   |   |
| PERI   | OD   |  |  | _ , ,  |  |   | -   |  | -                    |  |   |
| From   |  |  | Signature F  | Primary Account  | t holde  | r Sig   | nature Acco   | ount holder  |                      | Signature Acc  | ount holder   |
| - L  | Until Can  | celled -   | Name   | as in bank reco  | rds  | 2 Na  | me as in ba   | nk records   | 3                    | Name as in ba  | nk records  |
| This is t     I have u   | to confirm that t<br>inderstood that   | he declaration has been<br>I am authorised to canc   | carefully read, underst<br>el/amend this mandate   | ood and made by me/us.I ar<br>by appropriately communic  | n authorising<br>ating the ca  | the user entity/corporate to ncellation/amendment reque   | debit my account.<br>st to the user entity/c  | orporate or the bank when  | re i have author     | rized the debit.   |   |

We have builds down that an autoinged to carectarate that the mobile number listed above information is true and correct and that the mobile in register and is registered in my/our anergister above information is true and correct and that the mobile is registered in my/our anergister and the data hereof. We see in the ordinary course. We hereby declare that, irrespective of my/our registration of the above information is true and correct and that the mobile is registered in my/our anergister and is registered in my/our anergister and is registered in my/our anergister and is registered in the data hereof. We consent to the Bank communicating to me/us about the transactions carried out in my/our aforesaid account(s).

### **General Instructions**

- This facility is offered to investors having Bank accounts in select banks (269) mentioned in our application form. The Banks in the list may be modified/updated/ changed/removed at any time in future entirely at the discretion of Sundaram Asset Management Ltd ("SAMC"), Sundaram Trustee Company Ltd. ("Trustee") or Sundaram Mutual Fund ("SMF") without assigning any reasons or prior notice. SIP instructions for investors in such Banks via NACH route will be discontinued.
- 2. The AMC/ Trustee/ SMF will not be liable for any transaction failures due to rejection by the investors bank/branch.
- SIP through Auto Debit Facility is available only on 1st / 7th / 14th / 20th / 25th of the month. In case these days are nonbusiness days for the scheme, then SIP will be processed on the next business day.
- The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
- 5. Investor will not hold AMC / Trustee / SMF and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific SIP date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration / Cancellation / Rejections.
- The AMC/ Trustee/ SMF reserves the right to reverse allotments in case the Auto debit is rejected by the bank for any reason whatsoever.
- 7. The AMC/ Trustee/ SMF shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.
- The AMC/Trustee reserves the right to discontinue or modify the SIP facility at any time in future on a prospective basis.
- 9. The AMC/ Trustee reserves the right to discontinue the SIP in case of Direct Debit through NACH routes are rejected by the investor bank for any reasons.

2. Bank A/c Type: Tick the relevant box

8. Reference 2: Mention Application Number

4. Fill name of Destination Bank

12. Signature as per bank account

6. Mention Maximum Amount

10. Email ID

### Following fields need to be filled mandatorily

- 1. Date: In format DD/MM/YYYY
- 3. Fill Bank Account Number
- 5. IFSC / MICR code: Fill respective code
- 7. Reference 1: Mention Folio Number
- 9. Telephone Number (Optional)
- 11. Period: Starting date of NACH registration (in format DD/MM/YYYY)
- 13. Name: Mention Holder Name as Per Bank Record

## **Other Instructions**

- Auto Debit Bank Mandate can be used for both SIP and Lump Sum Purchase.
- Investors are allowed to perform Lump sum purchase and SIP on a same day provided the Auto Debit bank account has the adequate funds to honor multiple debits
- Auto Debit Bank Mandate is applicable for both Individual and Non-Individual
- Registration of Multiple Auto Debit forms is acceptable with different Bank and Accounts.
- Per transaction limit should be less than or equal to the amount as mentioned in Auto Debit Form already registered or submitted, if not registered

- For scheme related details, please refer to the Scheme Information Document (SID) / Key Information Memorandum (KIM) and the addendum issued from time to time.
- 11. The AMC/ Trustee reserves the right to reject any application without assigning any reason thereof.
- SIP cancellation can be done separately by submitting the request at least 15 Business days in advance; however the associated NACH mandate can be retained for future investments.
- 13. For intimating the change in bank particulars, please use the Auto Debit Form to modify transaction limit or add / remove banks from the NACH facility. Also fill-up all the relevant details as applicable. Requests for any changes / cancellation in the NACH Bank Mandate request should be submitted at least 15 Business days in advance.
- 14. In case of Micro SIPs, please refer to KIM under "your guide to fill the application form" for more details.

## 15. SIP Frequency

- Weekly every Wednesday (For Minimum amount of Rs.1000, Minimum No. of installments is 5)
- Monthly (For Minimum amount of Rs.250, minimum No. of installments is 20)
- Quarterly (For Minimum amount of Rs.750, minimum No. of installments is 7)

# 16. SIP default date is 7th of every month, if no date is mentioned The following applications will be considered as 'Not In Good Order' (NIGO) and are liable to be rejected:

- If folio number mentioned in the Fresh / Additional Purchase, SIP Auto Debit form, Switch, STP, SWP & NCT request does not match Folio Number mentioned in Auto Debit registration mandate Form.
- If the folio number mentioned in the Auto Debit mandate registration form does not match with our record, the NACH mandate will not be registered.
- If the SIP period mentioned in SIP via Auto Debit form is beyond the Auto Debit Mandate validity period or Auto Debit validity period expired.
- Investors are required to submit "New Auto Debit / OTM" registration first and only after successful registration an existing "Auto Debit Mandate" associated with a SIP can be cancelled.
- Auto Debit Mandate request will be accepted only if the "Bank" mentioned in the request form is listed in the NACH banks list. Please contact offices of Sundaram Asset Management Company/ visit www.sundarammutual.com for updated list of banks eligible for Auto Debit Facility.
- Submitting Auto Debit form does not confirm your investments in SMF unless supported by SIP Investment Form or Common Transaction forms
- Sundaram Mutual will initiate debit instructions to the investor bank account only on receipt of valid investment instruction from the investor.
- For other Terms and Conditions governing NACH Auto Debit payments please refer KIM or www.sundarammutual.com
- Auto Debit Bank Mandate is applicable only for investments via debit instructions
- By submitting the Auto Debit mandate the investor authorizes Sundaram Mutual to utilize the information provided herein for the purpose of his/her investments in Sundaram Mutual Fund, including creation of a folio
- Investors are deemed to have read and understood the requirements and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) and all other scheme related documents