

COMMON APPLICATION FORM

Application No. _____

(Please read the instructions carefully and complete the relevant section legibly in black / dark coloured ink and in BLOCK LETTERS.)

For Office use only

Broker Code/ ARN	Sub-Broker Code/ Branch Code	Branch Manager Code	LG/ MO/ CRE Code	EUIIN* (Refer Section 'L' of instructions)	Time Stamping <input type="radio"/> Zero Balance <input type="radio"/> Invest Now
<p><input type="radio"/> *I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.</p>					
Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory		Signature Second Applicant/ POA/ Authorised Signatory		Signature Third Applicant/ POA/ Authorised Signatory	
<p>Any upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors assessment of various factors including the service rendered by the distributor.</p> <p>TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY. (Refer Section 'J' of instructions)</p> <p><input type="radio"/> I confirm that I am a First time investor across Mutual Funds <input type="radio"/> I confirm that I am an Existing investor in Mutual Funds</p> <p>In case the subscription amount is ₹ 10,000/- or more and your Distributor has opted-in to receive Transaction Charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.</p>					

1. EXISTING UNIT HOLDER INFORMATION (Please complete Section 1, 8 & 10 only) (The details in our records under the Folio No. mentioned below will only be considered for this application) * Mandatory

Unitholder's Name	Folio No.
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2. FIRST APPLICANT'S INFORMATION* (Please shade (●)) (Refer Section 'B', 'C' and 'G' of instructions) (Please ensure that the details mentioned matches with the KYC details)

☐ Mr. ☐ Ms. ☐ M/s. PAN ☐ KYC

Date of Birth (Mandatory in case of minor) D D M M Y Y Y Y **Minor's Relationship with Guardian (referred in point no. 5)** ☐ Father ☐ Mother ☐ Legal Guardian

Proof for Date of Birth and relationship with Guardian ☐ Birth Certificate ☐ School Leaving Certificate ☐ Marksheet issued by HSC/ State Board ☐ Passport ☐ Others (Please Specify) _____

Status ☐ Resident Individual ☐ Minor ☐ NRI (Repatriable) ☐ NRI (Non-Repatriable) ☐ Sole Proprietorship ☐ HUF

☐ Partnership Firm ☐ Limited Partnership (LLP) ☐ Listed Company ☐ Unlisted Company ☐ Body Corporate ☐ Bank/FI ☐ Insurance Company

☐ Government Body ☐ AOP/BOI ☐ Trust ☐ Society ☐ Provident Fund ☐ Superannuation/Pension Fund ☐ Gratuity Fund ☐ FIL ☐ Others (Please Specify) _____

Occupation ☐ Pvt. Sector ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others (Please Specify) _____

Gross Annual Income ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

Net-worth in ₹ _____ as on D D M M Y Y Y Y (Not older than 1 year)

Please shade (●) if applicable
☐ Politically Exposed Person
☐ Related to Politically Exposed Person

For Non - Individual Investors (Is the entity involved in / providing any of the following services)
 Foreign Exchange / Money Changer Services ☐ Yes ☐ No
 Gaming / Gambling / Lottery Services [eg. casinos, betting syndicates] ☐ Yes ☐ No
 Money Lending / Pawning ☐ Yes ☐ No
 Any other information [Please specify]: _____

Mailing address (P. O. Box address is not sufficient.)

City _____ State _____ Pin Code _____

Overseas address (Mandatory for NRI/FIL. P. O. Box address is not sufficient. Investors residing overseas and with P. O. Box address please provide your Indian address)

City _____ Country _____ Area Code _____

Contact Details (Refer Section 'I' of Instructions) (Please ensure to mention Country and Area Code)

Tel. (Off.)	Country/ Area code	Mobile	Country/ Area code	 <small>If e-mail address is provided all future communication including Account Statement, Annual Report, etc. shall be in electronic mode except if physical mode preference has been specifically indicated, opted. "Save Paper & the Planet"</small>
Tel. (Res.)	Country/ Area code	Fax	Country/ Area code	
E-mail _____				

I/ we wish to receive the Account Statement, Annual Report or Abridged Report, Consolidated Account Statement and other statutory documents in ☐ Physical ☐ E-mail

3. PIN Facility for online transactions: I/We wish to avail the PIN Facility. I/We have read and understood the Terms & Conditions for PIN Facility mentioned in the instructions of the form and accept & agree to be bound by the said terms & conditions.

4. MODE OF HOLDING ☐ Single ☐ Joint (Default option) ☐ Anyone or Survivors

5. Guardian if minor / Contact Person for non-individuals / PoA holder Details PAN (Guardian/ PoA) ☐ KYC

☐ Mr. ☐ Ms. ☐ M/s. PAN ☐ KYC

Status ☐ Resident Individual ☐ NRI (Repatriable) ☐ NRI (Non-Repatriable) ☐ Others (Please Specify) _____

Occupation ☐ Pvt. Sector ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Others (Please Specify) _____

Gross Annual Income ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore **Net-worth in ₹** _____

Other Details ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

6. OTHER APPLICANT'S INFORMATION* (Please shade (●)) (Refer Section 'B', 'C' and 'G' of instructions)

☐ Mr. ☐ Ms. PAN ☐ KYC

Status ☐ Resident Individual ☐ Minor ☐ NRI (Repatriable) ☐ NRI (Non-Repatriable) ☐ Others (Please Specify) _____

Occupation ☐ Pvt. Sector ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others (Please Specify) _____

Gross Annual Income ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore **Net-worth in ₹** _____

Other Details ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

☐ Mr. ☐ Ms. PAN ☐ KYC

Status ☐ Resident Individual ☐ Minor ☐ NRI (Repatriable) ☐ NRI (Non-Repatriable) ☐ Others (Please Specify) _____

Occupation ☐ Pvt. Sector ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others (Please Specify) _____

Gross Annual Income ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore **Net-worth in ₹** _____

Other Details ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

7. **UNITHOLDING OPTION** [Please shade (●)] ☐ Physical Mode ☐ Demat Mode (If demat account details are provided below, units will be allotted by default in electronic mode only)

DEMAT ACCOUNT DETAILS (Refer Section 'G' of instructions)

NSDL: Depository Participant (DP) Name _____

DP ID No: **I N** _____

Beneficiary Account Number _____

CDSL: Depository Participant (DP) Name _____

Beneficiary Account Number _____

It may be noted that the combination/ sequence of names and mode of holding in the application form must match exactly with the account held with the Depository participant. Investor willing to invest in demat option, may provide a copy of the DP statement to enable us to match the demat details as stated in the Application Form.

8. **INVESTMENT AND PAYMENT DETAILS*** [Please shade (●)] (Refer Section 'E', 'F' and 'G' of instructions) [Third Party payment(s) will not be accepted]

Name of the Scheme	<input type="radio"/> Union KBC Equity Fund	<input type="radio"/> Union KBC Liquid Fund*	<input type="radio"/> Union KBC Asset Allocation Fund - Moderate Plan
	<input type="radio"/> Union KBC Tax Saver Scheme	<input type="radio"/> Union KBC Ultra Short Term Debt Fund*	<input type="radio"/> Union KBC Asset Allocation Fund - Conservative Plan
	<input type="radio"/> Union KBC Small and Midcap Fund	<input type="radio"/> Union KBC Dynamic Bond Fund	<input type="radio"/> Union KBC _____

Plan	Option	Sub Option	Dividend Frequency*
<input type="radio"/> Regular/ Other than Direct Plan <input type="radio"/> Direct	<input type="radio"/> Growth <input type="radio"/> Dividend	<input type="radio"/> Dividend Payout <input type="radio"/> Reinvestment <input type="radio"/> Sweep	<input type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Fortnightly <input type="radio"/> Monthly

Dividend Sweep to **U N I O N K B C** _____

Plan/ Option _____ Facility _____

Default Plan/ Option/ Facility will be applied in case of no information, ambiguity or discrepancy.

LUMP SUM	Payment Mode: <input type="radio"/> Cheque <input type="radio"/> DD <input type="radio"/> RTGS <input type="radio"/> NEFT <input type="radio"/> Fund Transfer <input type="radio"/> Debit Mandate (Union Bank of India A/C Holders only)
	Cheque / DD / RTGS / NEFT No. _____ Cheque / DD / RTGS / NEFT Date D D M M Y Y Y
	Amount in ₹ (Figures) _____ Amount in ₹ (words) _____
	Source Bank Name _____ Source Branch _____
	Source Bank A/C No. _____ Account Type <input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR
	Cheque Issuer Name _____ In case the cheque is issued by a person other than the investor

Document attached in the case of third party payments (Mandatory) ☐ Proof evidencing the debit for issuance of DD ☐ Third Party Payment Declaration Form

☐ SIP through Post Dated Cheques ☐ SIP through Auto Debit (Please fill and attach the SIP Auto Debit Form)

SIP	SIP Period From M M Y Y Y Y To M M Y Y Y Y SIP Date <input type="radio"/> 2nd <input type="radio"/> 8th <input type="radio"/> 15th <input type="radio"/> 23rd
	SIP Frequency <input type="radio"/> Monthly <input type="radio"/> Quarterly Instalment Amount in ₹ (Figures) _____ No. of Instalments _____
	Cheque Nos. From _____ To _____ Drawn on Bank A/c No. _____
	Bank Name _____ Branch _____

9. **PAYOUT BANK ACCOUNT DETAILS *** [Please shade (●)] (Refer Section 'D' and 'G' of instructions) (Will be updated only if the proof of bank account is available)

Please update my/our pay-in-bank account mentioned under point no. '8' above as default payout bank account ☐ Yes ☐ No (If no please furnish the details below) (Will be updated only if payment is through cheque/debit mandate or proof of pay-in with IFSC code is enclosed)

Bank Name _____

Bank A/C No. _____ Bank Branch _____

A/C Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others (Please Specify) _____

Bank City _____ State _____ PIN _____

IFSC CODE _____ MICR CODE _____

Document Attached ☐ Bank Statement ☐ Cancelled cheque with name pre-printed ☐ Pass Book

In case the Pay-out bank account detail is different from Pay-in bank account detail please submit necessary documents as proof.

(IFSC Code is the 11 digit no. appearing on your cheque leaf, mandatory for credit via NEFT/ RTGS) (MICR Code is the 9 digit code next to the cheque no.)

For unit holders opting to invest in demat mode, please ensure that the bank account linked with the demat account is mentioned here.

10. **NOMINATION DETAILS*** [Please shade (●)] (Refer Section 'H' and 'G' of instructions) (In case of multiple nominees, please complete the separate nomination form available on our website)

☐ Please register nomination as requested below ☐ I/ We wish to nominate multiple nominees® ☐ I/ We do not wish to nominate® (*Please strike out the form below)

I/We hereby nominate the under mentioned Nominee to receive the amounts to my / our credit in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by the AMC / Mutual Fund / Trustee.

Name of the Nominee : _____

Address : _____

Relationship : _____ Date of Birth (In Case Nominee is a Minor) ____/____/____

Name of the Guardian (in case Nominee is minor): _____ Signature of Nominee/ Guardian (not mandatory)

11. **DECLARATION & SIGNATURES*** (Refer Section 'K' of instructions)

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union KBC Mutual Fund and the terms and conditions and policies on the website before investing, I / we, hereby apply to the Trustee of Union KBC Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Union KBC Mutual Fund/ AMC/ Trustee/ Sponsor. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby agree to have read and understood the terms and conditions with regard to payment of transaction charges as specified in the SID/SAI/KIM and addenda thereto and this application form and instructions thereto. I / We hereby confirm that Union KBC Mutual Fund/ Union KBC Asset Management Company Private Limited and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I / We hereby confirm that at the time of investment, I / we have the express authority to invest in units of the Scheme and the AMC / Trustee / Mutual Fund/ Sponsor will not be responsible if such investment is ultravires the relevant constitution.

Applicable to Micro Investments only: I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

Applicable to NRIs only: I/We confirm that I am / we are Non-Resident(s) of Indian Nationality / Origin and I/we hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).

Signature

Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory

Signature

Second Applicant/ POA/ Authorised Signatory

Signature

Third Applicant/ POA/ Authorised Signatory

12. **DECLARATION OF ULTIMATE BENEFICIAL OWNERSHIP** [Please shade (●)] (Please refer instructions overleaf)
(Mandatory for Non-individual Applicant/ Investor)

Name of the investor

PAN

KYC

M/s.

Listed Company/ its subsidiary company [Please shade (●)]

We hereby declare that:
☐ Our company is a Listed Company listed on recognized stock exchange in India
☐ Our company is controlled by a Listed Company
☐ Our company is a subsidiary of the Listed Company
☐ None of the above
If 'None of the above' option is selected, the following information shall be provided mandatorily as applicable

Non-individuals other than Listed Company/ its subsidiary company [Please shade (●)]

Applicable Category:

☐ Unlisted Company
☐ Partnership Firm
☐ Limited Liability Partnership
☐ Unincorporated association / body of individuals
☐ Public Charitable Trust
☐ Religious Trust
☐ Private Trust
☐ Trust created by a Will
☐ Others (Please Specify)

Details of Ultimate Beneficiary Owners ^:

Sr. No.	Name of UBO [Mandatory]	PAN or any other valid ID proof where PAN is not applicable [Mandatory]	Position/ Designation [to be provided wherever applicable]	Applicable Period	UBO Code [Mandatory] (Refer UBO Codes given overleaf)	KYC (Yes/No) [Please attach KYC acknowledgement copy]^

^ If the given rows are not sufficient, investor can submit multiple declarations covering all Beneficial Owners.
#Where KYC is not available, submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO(s).

Declaration

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we are aware that I/we may be liable for it. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

Authorized Signatories [with Company/Trust/Firm/Body Corporate seal]

Signature

Signature

Signature

13. **DEBIT MANDATE** (Lumpsum Investment) (For Union Bank of India account holders at CMS Locations only)

Application No.

To be detached by the Registrar (CAMS Pvt. Ltd.) and presented to Union Bank of India.

To Branch Manager - Union Bank of India

Date

I / We

authorise you to debit my / our Account No.

Type of Account

₹ (in figures)

₹ (in words)

to

pay for the purchase of units of Union KBC (Scheme Name).

Signature of Account Holder(s) / Authorised Signatory(ies)
(As per Bank records)

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No. 5005858

Received from: Mr./ Ms. /M/s

an application for units of (Scheme/Plan/Option)

Amount

Instrument No

Dated / / Drawn on Bank & Branch

Unitholding Option

Physical Mode

Demat Mode

Encl:

Third Party Payment Declaration Form

Bank Accounts Registration Form

Nomination Form

SIP Form

Please note: All purchases are subject to realisation of cheques/ Demand Drafts/ Debit Mandate



Collection centre's stamp with
date and time of receipt